



ISE GEMINI PORT REQUEST FORM

ISE Gemini Member Firm: _____

Service Bureau: _____

Request Type: Add Remove Modify

Products: SQF FIX Purge OTTO FIX DROP CTI

Complete this section when requesting SQF port.

- Select connection type: Production OTF Chicago / Backup DR Port
- Has this version of your front-end application been certified? Yes No
- How many sessions are you requesting (Default: 1): _____
- Would you like to copy presets from an existing port? * Yes No
**Note, for initial set up of ISE Gemini ports on the INET platform, there will be no presets to copy. Once a firm has established FIX, SQF or OTTO connections, the presets for those ports will be able to be copied.*
- If yes, please provide the login name you wish to copy: _____
- What is your ISE Gemini Market Maker Firm Identifier? _____
- What is the port disconnection time period (Default: 15 sec)? _____
- What are the Rapid Fire and Market Wide Speed Bump Settings?
 - Cumulative Count/Time Interval: _____
 - Percentage Count/Time Interval: _____
 - Delta/Time Interval: _____
 - Vega/Time Interval: _____
 - Market Wide Speed Bump Curtailment Limit / Time Interval: _____*Note: the time interval must be between 100 milliseconds and 30 seconds.*
- Enable notification messages? Yes No
- Select the notification messages you wish to receive on your SQF port:

<input type="checkbox"/>	Simple Instrument Directory	<input type="checkbox"/>	Simple Instrument Auction Notification
<input type="checkbox"/>	Underlying Permission Notification	<input type="checkbox"/>	Simple Quote Execution Notification
<input type="checkbox"/>	Opening Spread Multiplier Notification	<input type="checkbox"/>	Quote Update Notification
<input type="checkbox"/>	MM Parameter Definition Notification	<input type="checkbox"/>	Purge Notification
<input type="checkbox"/>	Rapid-Fire Configuration Notification (to be deprecated)	<input type="checkbox"/>	Market Reentry Notification
<input type="checkbox"/>	Simple Instrument Trading Action	<input type="checkbox"/>	Simple MSAR Notification

Complete this section when requesting the OTTO port.

- Select connection type: Production OTF Chicago / Backup DR Port
- Has this version of your front-end application been certified? Yes No
- How many sessions are you requesting (Default: 1)? _____
- Would you like to copy presets from an existing port?* Yes No
**Note, for initial set up of ISE Gemini ports on the INET platform, there will be no presets to copy. Once a firm has established FIX, SQF or OTTO connections, the presets for those ports will be able to be copied.*
- If yes, please provide the login name you wish to copy: _____
- What is your ISE Gemini Firm Identifier? _____
- Do you wish to enable Cancel on Disconnect (Default = No)? Yes No
- Select the notification messages you wish to receive on your OTTO port:

<input type="checkbox"/>	Simple Instrument Directory
<input type="checkbox"/>	Instrument Trading Action
<input type="checkbox"/>	New Auction Notification
<input type="checkbox"/>	Trade Details

Complete this section when requesting FIX port.

- Select connection type: Production OTF Chicago / Backup DR Port
- How many sessions are you requesting (Default: 1)? _____
- Would you like to copy presets from an existing port?* Yes No
- If yes, please provide the login name you wish to copy: _____
- What is the source IP address? _____
- What is the SenderSubID (Service Bureau Only)? _____
- What is the default OCC clearing number? _____
- Do you wish to enable Mass Cancel on Disconnect? Yes No
- Do you wish to enable Persist Day Orders? Yes No
- If you have elected to set Risk Limits on your connections, please provide your settings below:
 - What is the Notional dollar value per order? _____
 - What is the aggregate Notional dollar value? _____
 - What is the Quantity per order? _____
 - What is the Aggregate Quantity? _____
- Do you wish to enable Kill Switch? Yes No
- If yes, provide Port, Firm Identifier or Firm Identifier / Port to be configured: _____
- What are the Market Wide Risk Protection parameters? _____
(Note: This is a mandatory setting for all market participants. If the settings are not provided, the default settings in the table below will be configured to the session and the default handling will be set to Block and Delete.)

Threshold	Simple Instrument	Standard Combo*	Stock Combo*
Max Order Count	20,000	N/A	N/A
Max Order Timer	1 second	N/A	N/A
Max Order Action	Kill Switch	N/A	N/A
Max Trade Volume	100,000	N/A	N/A
Max Trade Timer	1 second	N/A	N/A
Max Trade Action	Kill Switch	N/A	N/A

*These values are not needed at this time as Combo orders are not accepted on ISE Gemini at this time.

- Who are the authorized personnel to allow re-entry to the market? _____
- Confirm order handling when triggered: Block Block / Delete
 - Max Number per Orders Type:
Simple Order _____
 - Max Number per Contracts Executed:
Simple Order _____
- Do you wish to have the system Preference Orders on your firm's behalf? Yes No
- If yes, indicate which markets Maker below:
 - Single Orders _____

Complete this section when requesting FIX Drop Port:

- Select connection type: Production OTF Chicago / Backup DR Port
- Has this version of your front-end application been certified? Yes No
- How many sessions are you requesting (Default: 1)? _____
 - Trade-Drop Copy Order-Drop
- Would you like to receive all Customer or Firm Indicators or only those sent to OCC on the Trade Drop Copy?
 - OCC Only Order-Drop
- Would you like to include clearing fields? Yes No
- Would you like to include Client ID (Tag 109) when the FIX Port user specifies the Exec Broker (tag 76)? Yes No
- Do you want to group your Order Drop Copy by Firm Identifier? Yes No
- If yes, provide the Firm Identifier: _____
- Do you want to group your Trade Drop Copy by CMTA, Give Up or Firm Identifier? Yes No
- If yes, provide: _____

Complete this section when requesting CTI (Clearing Trade Interface) Port:

- Select connection type: Production OTF Chicago / Backup DR Port
- Has this version of your front-end application been certified? Yes No
- How many sessions are you requesting (Default: 1)? _____

- Do you wish to enable Trades Only? Yes No
 - Do you wish to enable Extended Cancels? Yes No
 - What Firm Identifiers do you wish to receive clearing messages for? _____
 - What is the OCC Clearing Account number (Clearing Firms / Self Clearing Firms only): _____
-

Additional Comments and/or Request Notes:

Contact Information:

Technical Contact: _____ Phone: _____ Email: _____

Business Contact: _____ Phone: _____ Email: _____

Compliance Officer: _____ Phone: _____ Email: _____

Authorization

Authorized Contact (please print): _____

Signature: _____

Date: _____